

FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER  
IOMC-0039TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)

09/763014

INTERNATIONAL APPLICATION NO.  
PCT/JP00/03911INTERNATIONAL FILING DATE  
15 June 2000PRIORITY DATE CLAIMED  
18 June 1999

TITLE OF INVENTION CARD-TYPE MAGNETIC RECORDING DEVICE

APPLICANT(S) FOR DO/EO/US Maki WAKITA, Katsutosi MUKAIJIMA and Shoji TAKAHASHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:


1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
  4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☒ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
    - ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  - ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  - ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - ☐ An oath or declaration of the inventor(s) 35 U.S.C. 371(c)(4).
  - ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:**
11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☐ A substitute specification.
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:  
- A copy of the International Search Report and references cited.

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Date of Deposit: February 15, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

MAILER Bob InforzatoSIGNATURE A Inforzato

U.S. APPLICATION NO. <b>09/763014</b> (If known to CF, E, 1.5)		INTERNATIONAL APPLICATION NO. <b>PCT/JP00/03911</b>		ATTORNEY DOCKET NUMBER <b>IOMC-0039</b>	
17. <u>  </u> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... <b>\$1,000.00</b>  International preliminary examination fee (37 CFR 1.482 not paid to USPTO but International Search Report has been prepared by the EPO or JPO..... <b>\$860.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <b>\$710.00</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... <b>\$690.00</b>  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... <b>\$100.00</b>  <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<div style="text-align: center; border-bottom: 1px solid black;"> <b>CALCULATIONS      PTO USE ONLY</b> </div>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u>  </u> 20 <u>  </u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
Claims	Number Filed	Number Extra	Rate		
Total claims	12- 20 =	0	X \$18.00	\$	
Independent Claims	1- 3 =	0	x \$80.00	\$	
Multiple dependent claims(s) (if applicable)			+ \$270.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$860.00</b>	
<u>  </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$	
<b>SUBTOTAL =</b>				<b>\$860.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <u>  </u> 20 <u>  </u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$860.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$860.00</b>	
				<b>Amount to be:</b>	
				<b>refunded</b>	\$
				<b>charged</b>	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>860.00</u> to cover the above fee is enclosed.  b. <u>  </u> Please charge my Deposit Account No. 23-3050 in the amount of \$ <u>      </u> to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-3050. A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must          be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: <b>Michael J. Swope</b> Woodcock Washburn Kurtz Mackiewicz & Norris LLP One Liberty Place - 46th Floor Philadelphia, PA 19103 (215)-568-3100					
<div style="text-align: right;">             SIGNATURE   <b>Frank T. Carroll</b>            NAME   <u>42,392</u>            REGISTRATION NUMBER         </div>					

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re International Application of  
Maki WAKITA, et al.  
International Application No. PCT/JP00/03911  
International Filing Date: June 15, 2000  
For: CARD-TYPE MAGNETIC RECORDING DEVICE

VERIFICATION OF TRANSLATION

Honorable Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

I, Naoyuki HORIBE, residing at c/o AIWA INTERNATIONAL PATENT AGENCY, Toranomom 19 Mori Bldg. 6F, No. 2-20, Toranomom 1-chome, Minato-ku, Tokyo, Japan, declare:

- (1) that I know well both Japanese and English languages;
- (2) that I translated the above-identified International Application from Japanese to English;
- (3) that the attached English translation is a true and correct translation of the above-identified International Application to the best of my knowledge and belief; and
- (4) that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements and the like are punishable by fine or imprisonment, or both, under 18 USC 1001, and that such false statements may jeopardize the validity of the application or any patent issuing thereon.

  
Naoyuki HORIBE

Date: February 6, 2001

09/763014-050901